

ASSESSING THE ABILITY OF AIRBORNE LIDAR TO MAP RIVER BATHYMETRY

Robert C. Hilldale¹ and David Raff²

1 – Hydraulic Engineer, M.S., P.E.
Sedimentation and River Hydraulics Group,
Bureau of Reclamation, Technical Service Center, Denver, CO

2 – Hydraulic Engineer, Ph.D., P.E.
Flood Hydrology and Meteorology Group
Bureau of Reclamation, Technical Service Center, Denver, CO

Corresponding Author – Robert C. Hilldale
Bureau of Reclamation
Denver Federal Center
Bldg. 67, 86-68540
Denver, CO 80225
Phone: (303)445-3135
Fax: (303)445-6351
E-mail: rhilldale@do.usbr.gov

Key Words: bathymetric lidar, river survey, channel geometry

ABSTRACT

Airborne bathymetric LiDAR was collected for 220 river kilometers in the Yakima and Trinity River Basins in the United States. Concomitant with the aerial data collection, ground surveys of the river bed were performed in both basins. We assess the quality of the bathymetric LiDAR survey from the perspective of its application toward creating accurate, precise, and complete streambed topography for numerical modeling and geomorphic assessment. Measurement error is evaluated with respect to ground surveys for magnitude and spatial variation. Analysis of variance statistics indicate that residuals from two independent ground surveys in similar locations do not come from the same population and that mean errors at different study locations also come from different populations. Systematic error indicates a consistent bias in the data and random error falls within values of expected precision.

INTRODUCTION

There is a growing need to efficiently and accurately represent river channel geometry with high resolution to study fluvial environments for flow hydraulics, flood routing, sediment transport, aquatic habitat and monitoring of geomorphic change (Lane et al., 1994; Marks and Bates, 2000; Westaway et al., 2000). This is especially true for long study reaches at watershed scales where field survey methods can be time consuming and costly (Marcus, 2002). Although much progress has been made in the ability to represent complex hydraulic flow patterns with multi-dimensional numerical models, one of the problems that still exists is inadequate terrain representation, particularly river channel bathymetry (Lane et al., 2003; Marks and Bates, 2000; Westaway et al., 2000). Studies using multi- and hyper-spectral imagery (e.g. Lyon et al., 1992; Winterbottom and Gilvear, 1997; Roberts and Anderson, 1999; Marcus, 2002; Whited et al., 2002; and Marcus et al., 2003) and standard photogrammetry (e.g. Lane et al., 1994; Lane et al., 2003; Westaway et al., 2000; 2001 and 2003; Carbonneau et al., 2006) have shown an ability to map bathymetry through shallow water. A distinct advantage of using imagery to map river channel bottoms is the relatively low cost. However these studies have shown some limitations. First, there is a weakness inherent in photogrammetric methods in shallow water due to the use of the red color band. It has been shown that the red color band has a greater sensitivity to depth than blue or green (Winterbottom and Gilvear, 1997; Legleiter et al., 2004; Carbonneau et al., 2006) but does not penetrate the water column as deeply. Secondly, these studies use relationships of depth and water color that are site specific and require ground surveys to calibrate this process (Westaway et al., 2003; Carbonneau et al., 2006). Third, changes in substrate material, overhanging vegetation, surface disturbance by waves, and shadows on the water surface can introduce error for image based measurements using color to determine depth (Carbonneau et al., 2006; Roberts and Anderson, 1999).

Over the past two decades significant advances have been made in airborne LiDAR bathymetry (ALB) technology, which may provide an additional method for obtaining dense river channel bathymetry. ALB overcomes some of the weaknesses of image based methods such as increased penetration for greater depth measurement and eliminates error induced by shadows or surface disturbance. Additionally, ALB is not affected by sun angle and glint on the water surface, and thus not limited to data collection during favorable light conditions. Ground surveys are not required to post-process ALB data although it is recommended for independent quantification of remote measurement error. The Bureau of Reclamation has recently used ALB to survey inland river channels in Washington and California, USA. To date, these data have been used to create two-dimensional hydraulic models to evaluate aquatic habitat (Hilldale, 2007), although many other uses are possible. As new surveying technologies are developed and made available it is important to assess the quality of the data being produced. In the past it has been noted that aerial mapping methods, particularly LiDAR, do not always meet the manufacturer's or contractor's published accuracies under all conditions (Bowen and Waltermire, 2002; Charlton et al., 2003). Measurement error can come from a variety of sources, including weather conditions, vegetation, water clarity, GPS positioning error, inertial measurement of the aircraft attitude, and data processing (Charlton et al., 2003; Brinkman and O'Neill, 2000). Here we assess the ability of ALB to provide a quality representation of river channel bathymetry.

This study evaluates data quality in a similar fashion to that outlined in Lane et al. (1994) and Westaway et al. (2001 and 2003), who based their analysis on Cooper (1998) and Cooper and Cross (1988). Quality of a surveyed surface is a function of accuracy, precision and internal reliability. Accuracy is a function of systematic error and is quantified using the mean error (ME) between ground survey data and remotely sensed data. Precision is a function of random error and is quantified with either an R^2 value or, as used here, standard deviation (SDE). Internal reliability refers to gross error typically associated with an extreme error measurement caused by blunders or mechanical malfunction. These errors can be detected if there is some redundancy (Lane et al., 1994) and may appear as outliers (Westaway et al., 2003). This type of error is assumed to have been corrected prior to delivery of the final data by the contractor. Therefore data quality in this manuscript is evaluated with ME and SDE.

Expected precision

The overall quality of bed elevation measurements with ALB should consider only those error sources related to the ALB. Methods used to evaluate the quality of the ALB have their own inherent sources of uncertainty that, if random, cannot be removed from error estimates. The overall quality of the ALB measurements presented here is therefore a function of all the sources of measurement uncertainty. We present this as the expected precision of the error measurements. The expected precision can be used to identify significant measurement error or outliers (e.g. Westaway et al., 2003) or for evaluation of applicability purposes. As per Lane et al. (2003) the expected precision (e) comparing two sets of data for 95 percent confidence should fall below

$$|e| \leq t_{\alpha/2} \sqrt{\sum_{i=1}^N \sigma_i^2}$$

where N is the number of sources of random error and i represents each random error such that σ_i^2 is the variance of the random error associated with process i and $t_{\alpha/2}$ is 1.96 for a 95 percent confidence level. The sources of random measurement uncertainties are: the precision of the ALB (± 0.25 meters obtained from the manufacturer); sites with ground survey performed with a survey rod using Real Time Kinematic Global Positioning Satellite (RTK GPS) equipment (± 0.02 m obtained from the manufacturer); error related to acoustic Doppler current profiler (ADCP) surveys for those sites using boat mounted acoustics (± 0.088 m, obtained from Wilson et al., 1997); precision related to the placement of the survey rod on the river bed, here the uncertainty is a function of the rod base diameter relative to grain size (DeVries and Goold, 1999) and is assumed to be $0.5 d_{50}$ for $d_{50} >$ the diameter of the foot of the survey rod (0.03 m) and 0 otherwise.

CURRENT CHANNEL SURVEYING METHODS

Current bathymetric survey methods include ground survey while wading or boat mounted acoustics, using an ADCP (e.g. Vermeyen, 1996; Dinehart and Burau, 2005) or either single or multi-beam SONAR (Sound Navigation And Ranging) (e.g. Poppe, 2006, Ferrari, 2005). Based on published values of precision, ground surveys using a total station or Kinematic GPS survey

equipment likely provide the best quality for measuring bathymetry in shallow and slow water conditions but has obvious safety and logistical limitations with increasing water depth and velocity, and is impractical for long reaches. Multi-beam SONAR can provide a dense coverage of bathymetry, depending on water depth and sampling frequency (Ferrari and Collins, 2006), however, this equipment is better suited for large rivers or reservoirs due to the size and vulnerability of the costly transducer and minimum depth requirements. The most common method for acquiring river bathymetry has been a single beam SONAR or an ADCP used in conjunction with RTK GPS. The surveying equipment obtains horizontal position and water surface elevations while the acoustic signal obtains depth. The bathymetry is obtained through post processing, which can be time consuming and subject to interpolation over long distances between known locations of water surface if GPS coverage is poor. Riparian vegetation and terrain features can interfere with satellite and radio reception for the GPS survey equipment which may add to sampling difficulty and measurement error. Due to the nature of these acoustic devices, the collection of depth data takes place directly under the transducer (nadir measurement) and is limited to the path taken by the vessel. Some investigators have had success obtaining bathymetric measurements by separating individual beam data from an ADCP (e.g. Dinehart and Burau, 2005). Obtaining a high density, complete coverage in this manner is difficult. A typical collection method is to cross back and forth across the river in conjunction with collecting data parallel to the shore line. If the river is surveyed with a non-motorized craft, multiple runs down the river are often necessary to survey near channel margins and channel center.

Published vertical precisions of RTK surveys using GPS surveying equipment are ± 0.02 meters under ideal conditions (Trimble, 2006). Precision of depth measurements from a TRD Instruments (Teledyne RD Instruments, 2006) ADCP are ± 0.088 meters (Wilson et al., 1997). This does not account for rocking boat movement that could cause deviation from the nadir measurement assumption, potentially increasing the overall error unless an inertial measurement system is employed. The errors associated with current bathymetric survey methods are provided for context and the calculation of expected precision.

BATHYMETRIC LIDAR

Currently there are a few operational bathymetric LiDAR systems in use; including the Scanning Hydrographic Operational Airborne LiDAR System (SHOALS, Optech, Toronto, Ontario, Canada, U.S. Navy and Army Corps of Engineers), the Hawk Eye, a SHOALS derivative (Saab Instruments/Optech, Swedish Royal Navy), the Laser Airborne Depth Sounder (LADS; Tenix LADS Corporation, Mawson Lakes, South Australia, Australia) and the Experimental Advanced Airborne Research LiDAR (EAARL). The Hawk Eye, LADS, and SHOALS are of similar design (Finkl et. al., 2005) while the EAARL is operated by NASA and designed for surveying shallow coral reefs using a less powerful laser. The EAARL has been used recently to survey the Platte River in Nebraska, USA (Kinzel et. al., 2006). China, France and Russia have also undertaken efforts to develop bathymetric LiDAR technology (LaRocque and West, 1990).

The SHOALS-1000T

The ALB data for this study was collected with a SHOALS-1000T. The system consists of a sensor, operator console, chiller rack and the laser rack. An inertial measurement unit is incorporated to track aircraft attitude while its position is tracked using kinematic GPS. The SHOALS-1000T is capable of recording x-y-z data at a rate of 1000 Hz with a sounding density of 2 x 2 to 5 x 5 meters. The point data for the bathymetry meets Order 1 accuracy standards of the International Hydrographic Organization (IHO) (USACE, 2002). The manufacturer states a depth penetration of 50 meters under ideal conditions with a horizontal precision of ± 2.5 meters and a vertical precision of ± 0.25 meters (Optech, 2006). The U.S. Army Corps of Engineers claims slightly different specifications, ± 3 meters horizontal precision, ± 0.15 meters vertical precision, and a depth penetration of 40 meters (Lillycrop et. al., 1996). For more information on hydrographic surveying accuracies, development of the SHOALS system, and the IHO, see the U.S. Army Corps of Engineers Hydrographic Survey manual (USACE, 2002).

The SHOALS-1000T in bathymetric mode uses a pulsed Nd:YAG flashlamp laser transmitter with both green (520 nm wavelength) and infra-red (1064 nm wavelength) output beams. The average energy per pulse is 5 mJ with a 5 ns pulse width (Lillycrop et. al., 1996). The green pulse is used for bottom detection because its wavelength allows it to penetrate water with the least amount of attenuation. The infra-red pulse is used to detect the water surface, as its wavelength allows very little water penetration. When these pulses are reflected and returned to the receiver, distances to the water surface and sea/river bed are calculated, based on the speed of light in air and water. The laser pulses are transmitted at an angle of $15^{\circ} - 20^{\circ}$ from nadir toward the front of the aircraft using a scanning mirror (Guenther et. al., 2000). Both the green and infra-red beams are expanded to a diameter of at least 2 meters at the water surface to achieve eye-safe operation. The green beam continues to spread as it penetrates the water column. The beam diameter is a critical factor that determines precision in the horizontal measurement and large beam diameters may limit the proper representation of high relief features in the bed.

The ability of the SHOALS-1000T to successfully detect the river bed can be affected by overhanging riparian or heavy aquatic vegetation, which will produce spurious data. In some cases successful bottom detection is possible during leaf-off conditions. Significant air entrainment in the water column, as is seen in severe rapids or just downstream of a dam or spillway, can interfere with the laser pulses and prevent accurate bathymetric measurement. These limitations are typically very local and result in 'holes' in the data. Bottom reflectivity plays a small role in reflecting laser pulses however; a more important condition is water clarity (Guenther et. al., 2000). A common measurement of water clarity is the Secchi depth, the depth at which a disc, usually painted black and white, can no longer be seen by the naked eye. A successful ALB survey can typically be made to depths of about 2 to 3 times the Secchi depth. The variability in the depth measurement capability results from the fact that the Secchi depth does not measure the true parameter affecting green laser penetration, which is reflection and scatter. A factor of two applied to the Secchi depth is more appropriate for water that has a significant amount of absorption while a factor of three is appropriate for water dominated by scattering (Guenther et. al., 2000).

STUDY LOCATIONS

Yakima Basin

The Yakima River Basin in Washington has a drainage area of 16,000 km², produces a mean annual unregulated runoff of 158 m³/s, and a mean annual regulated runoff of 102 m³/s (Mastin and Vacarro, 2002). The Yakima Basin headwaters are on the eastern slope of the Cascade Range and the Yakima River terminates at its confluence with the Columbia River (Figure 1). Basin elevations range from 122 to 2,440 meters (Mastin and Vaccaro, 2002). Much of the Yakima River has a slope in the range of 0.25% from the headwaters to the city of Yakima (Figure 1), downstream of which the slope slowly begins to decrease to less than 0.1% near the mouth. Seven separate reaches were surveyed using ALB, covering approximately 153 km of the Yakima and Naches Rivers. The water depth of the Yakima Basin along these reaches did not exceed 6 meters at the time of measurement.

The Easton and lower Kittitas reaches were surveyed in 2004. A second set of ALB data in the Yakima Basin was collected in 2005 on the upper Kittitas, Naches, Sunnyside, Prosser and Chandler reaches. Table 1 shows individual reach properties. ALB data collected in the Yakima Basin was flown with the SHOALS-1000T mounted in a fixed wing aircraft flying at an altitude of 300 meters collecting data at a 2 x 2 meter spot density.

Trinity River

The Trinity River Basin has its headwaters in the Trinity Alps Wilderness Area of the southern Cascade Range in California and drains 7,640 km². The basin terminates at the confluence with the Klamath River. Altitudes range from 152 to 2,740 meters (Figure 2) (McBain and Trush, 1997). Following the initial ALB data collection on the Yakima River, 67.6 contiguous river kilometers were flown with ALB on the Trinity River. The data collection began at Lewiston Dam and extends downstream to the confluence with the North Fork, Trinity River (Figure 2). The deepest water depths on the river did not exceed 6 meters at the time of data collection. The average bed slope throughout this reach is approximately 0.25%. Bed material d₅₀ falls within the range of 35 to 55 mm (McBain and Trush, 1997). Discharge on the Trinity River is controlled by releases from Lewiston Dam and is most commonly 10 – 12 m³/s.

ALB data for the Trinity River was acquired using the SHOALS-1000T mounted in a Bell 206L helicopter flying at an altitude of 200m collecting data at a 2 x 1 meter spot density. The shorter dimension is in the direction of flight; as the helicopter flies slower compared to the fixed wing aircraft.

AERIAL AND GROUND SURVEYS

Concomitant with the aerial data collection, ground surveys of the river channel were performed. All ground surveys used the same control points, or were tied to the control used for the ALB survey.

Yakima Basin Survey

ALB surveys of the Easton and lower Kittitas reaches were flown in September 2004 and the upper Kittitas, Naches, Sunnyside, Prosser and Chandler reaches were flown in April and May 2005 (Figure 1). In all but the lower Kittitas reach, bed elevations were surveyed by wading. For the lower Kittitas reach only, bed elevations were determined by placing the survey rod on the channel bed from a motorized boat. It appears this method may have produced greater uncertainty than bed elevations obtained while wading due to the difficulty of placing the foot of the survey rod in a stable location while maintaining a perpendicular rod. The amount of error generated when obtaining bed elevations in this fashion was not able to be quantified. It is expected that this uncertainty is small, although perhaps not negligible.

Ground surveys for the Yakima Basin were performed at two or more sites within each reach. These surveys were projected in State Plane Coordinates, Washington South. Horizontal and vertical datums were NAD 83 and NAVD 88, respectively.

Two separate and independent ground surveys were performed for some of the Yakima Basin reaches. Ground survey data set #1 is used to make comparisons with and draw conclusions about the quality of the ALB data. Ground survey data set #2 is used for comparison with ground survey data set #1 to draw conclusions regarding the quality of the ground survey.

Trinity River Survey

The Trinity River ALB survey took place in December 2005 over one continuous 67.6 km reach of the river (Figure 2). The Trinity River ground surveys were performed at four sites using RTK GPS survey equipment while wading, and from a boat using single beam sonar in conjunction with RTK GPS survey equipment. The sonar survey was performed in locations where flow depth prevented wading, otherwise closely spaced cross sections were surveyed. Surveys for the Trinity river were projected in State Plane Coordinates, California I. Horizontal and vertical datums were NAD 83 and NAVD 88, respectively.

ASSESSMENT OF QUALITY

The ability of the ALB to accurately and precisely represent river channel geometry was assessed through a comparison between ground surveys and ALB surveys. This was performed using two separate methods. The first method used the point-distance function within Arc GIS, which captures any and all LiDAR points within a given radius from each ground survey point. The radius chosen for this study was 1 meter. In some cases, there were multiple LiDAR points within the given radius that were compared to a single ground survey point. A radius of 1 meter was chosen based on the spot size of the LiDAR, which has a 1 meter radius at the water surface. When the data were exported, ground survey elevations were subtracted from ALB elevations to obtain the error statistics.

The second method used to compare ALB data to ground truth data accounts for the lack of spatial coincidence between the ground survey points and the ALB points. Arc GIS was used to construct a 0.5 meter grid using universal kriging with a linear semivariogram to build a surface

from the ALB point data. Kriging provides a geostatistical interpolation, whereby a spatial-dependence model is created from the existing data used to predict values where none exist (ESRI, 2006). It has been shown that kriging is a reliable spatial estimator, expected to produce more reliable estimates of elevation data than conventional interpolations (Chappell et al., 2003). Grids were constructed from the ALB point data in the vicinity of each ground survey. The ground survey elevations were then subtracted from the ALB elevations provided by the grid to obtain the error statistics.

Assumptions regarding both analysis methods must be made. With the point comparison method, it is assumed that the elevation at the location of the ground survey point is the same as the elevation of the ALB point, which can be up to a meter away. When the grid comparison method was used, it was assumed that the bed topography was properly modeled in the absence of ALB point data. It is felt that both methods merit investigation, and in most applications of ALB, some type of surface will be generated, be it grid interpolation or triangulation.

The error for data set #1 was tested for normality using the Lilliefors test (Lilliefors, 1967). In all cases, except the Naches and lower Kittitas reaches, the assumption of normality can be rejected in favor of a non-normal alternative hypothesis. Non-parametric tests were then employed to determine if two independently collected sets of ground truth data (data set #1 and data set #2) in the Yakima Basin had differing population medians with respect to the LiDAR measurements. This analysis is limited to five reaches (Chandler, Prosser, Naches, Sunnyside and upper Kittitas) in which the two independent ground surveys were performed. The non-parametric Kruskal-Wallis (Gibbons, 1985; Hollander and Wolfe, 1973) test was used to compare the medians of the samples and test the null hypothesis that all samples are drawn from the same population. The first test was used with data set #1 and the p-value was sufficiently low to reject the null hypothesis at the $\alpha = 0.01$ level. The second test was used with data set #2 and the p-value was also sufficiently low to reject the null hypothesis at the $\alpha = 0.01$ level. The residuals at each reach do not all come from the same distribution, and thus to compare the ground surveys from data set #1 to data set #2, a N-way ANOVA would not be appropriate. For each location an ANOVA was performed to compare the populations of data sets #1 and #2. The p-values associated with all but the Sunnyside reach were $\ll 0.01$ and the Sunnyside reach had a p-value of 0.0062. Not only do the residuals differ spatially but also differ based on who collected the ground truth data (Table 2).

RESULTS

The results of the analysis for the Yakima Basin survey are shown in Table 3. The data shown are statistics of the residuals, obtained by subtracting the ground survey elevations from ALB derived elevations. Results from both the point and grid comparisons are shown as well as values of expected precision. A significant result is that the residuals all indicate a higher bed elevation measured with ALB than with the ground survey. This result indicates a systematic error, creating a bias in the data.

The results of the Trinity River data comparison are shown in Table 4 for both methods of comparison. The ME values are somewhat smaller than the Yakima Basin data while the SDEs

are larger. Similar to the Yakima Basin, the data show a bias, where the ALB data indicates a higher bed elevation than the ground survey data.

Residual values consistently indicate a bias when compared to ground surveys. Although the two independent surveys in the Yakima Basin (data set #1 and #2) indicate a varying magnitude of error, they are consistently biased. Similarly, bias is seen in the Trinity River data, where ground surveys were collected independently from both Yakima ground surveys.

Associating ME With Depth and Local Topographic Variance

The results of the ANOVA indicate that there may be significance associated with where the ground survey data are collected. Two potential causes for this were explored. First is the proximity of the ground survey point to high relief features in the bed. Because the spot size of the laser is 2 meters at the water surface and only the first return is processed, the elevation provided by the ALB survey will necessarily be that of the highest feature within the spot. For this reason, ME was further evaluated based on local elevation variance. This was performed by producing a slope grid from the ALB data. A slope grid will identify the rate of change of elevation in each grid cell as a percent change, which provides a spatial context for reviewing ME. Three bins of localized slope were arbitrarily created, with slopes less than 10 percent representing relatively flat portions of the bed or gradually varying topography. The second category included slopes between 10 and 20 percent, representing a moderate variation of topography. The third classification contained slopes greater than 20 percent, representing rapidly varying topography. Using this grid, ME was categorized by the slope on which it exists. In all but the Indian Creek and Rush Creek sites of the Trinity River, differences in ME among the three categories were not statistically significant based on the 95 percent confidence interval. Ground surveys for the Indian Creek and Rush Creek sites on the Trinity River used boat mounted acoustics for the ground truth surveys, which provided a more comprehensive ground survey than wading, which in turn provided a greater amount of data on steeper slopes as well as many more points within the data set. Error statistics for the Rush Creek and Indian Creek sites based on the slope on which they exist are shown in Table 5. The second potential cause for spatial significance is varying error with flow depth. Correlations between ME and depth were investigated and showed no consistent trend among the data. The depth values used were based on remotely sensed water surface elevations acquired at the time of the ALB. The flow depth was determined by obtaining a difference grid between the modeled bed surface and modeled water surface. During the ground surveys, flow depth was not recorded and only a few water surface elevation points were collected. Orthorectified photography was not obtained during the acquisition of the ALB data and therefore could not be used to obtain an independent water surface elevation.

Based on the findings of this report, there is no compelling evidence that ME varies with depth over the range of depths evaluated with ground surveys (0 – 4 meters). Findings in this study were not conclusive regarding increasing ME with local elevation variance, although this may be a real issue. Further investigation will be required to conclusively determine if ME increases in the proximity of high relief features.

Data Adjustment

It is desirable to make corrections for the systematic error in the ALB data when they are to be combined with topographic data collected separately. This bias correction will improve the quality of the complete surface when combined with above-water terrain data by correcting for the systematic error in the ALB survey. Because no meaningful trends were apparent with respect to local topographic variance or flow depth, a block correction was made to the data based on the ME. In rivers that can not be waded to the greatest flow depth, future studies may be better served by collecting ground check data with boat mounted acoustics and RTK GPS so that a more complete representation of the wetted portion of the channel is obtained for comparison. Furthermore, surveys conducted in this manner necessarily provide water surface elevation and depth, although that information was not available to the authors when compiling these data for the Indian Creek and Rush Creek sites of the Trinity River.

DISCUSSION AND CONCLUSIONS

The data quality obtained with ALB are on the order of most terrestrial LiDAR data, (e.g. French, 2003; Bowen and Waltermire, 2002; Marks and Bates, 2000) and river channel bathymetry obtained with photogrammetry (e.g. Lane et al., 2003; Westaway et al, 2003). Although the horizontal error was not evaluated in this study, introduction of vertical error due to a misrepresented horizontal position is probable. These two types of error are inextricably linked when ground elevations vary significantly with spatial position. When a bed elevation is to be derived from the green laser pulse, it is the shallowest depth that is recorded. That elevation can occur at any location within the laser spot, which is then assumed to occur at the geometric center of the spot.

It has been noted that the ME in the Trinity River data is less than that of the Yakima River data although the SDE for the Trinity River is larger. An explanation for the increase in SDE of the Trinity River data may be related to the remotely sensed water surface elevations. There is significant vertical variation of water surface elevations (~ 0.6 meters) within a very small change in horizontal distance (~ 0.6 meters) for the Trinity River data while much less variation was present in the Yakima data. The Trinity River in the locations surveyed does not experience surface disturbance of this magnitude.

Given the abundance of data provided by ALB, there may be a distinct advantage to the ALB survey over traditional methods for large scale projects. The quality of ALB data may be somewhat less than traditional ground survey methods, particularly with respect to the precision. The existence of a greater point density and coverage with an ALB survey may result in a net improvement in the overall surface model, as there is less interpolation for a modeled surface with a greater point density. The usefulness of ALB data will depend on its application and future improvements in measurement accuracy and precision.

It is not possible, at this time, to use ALB to map riverine environments on a micro-scale, either for numerical modeling or geomorphic analysis. The ME and SDE of this method is on the order of a large cobble. Features in the size range of a large cobble or smaller are generally thought of as being micro-scale. Present horizontal resolution also precludes micro-scale modeling. Meso-

scale features are more ambiguously defined. For example, mapping pool, riffle and glide features, typically thought of as meso-scale are possible with current survey quality, depending on the size of the river. However there are meso-scale features that are not able to be sufficiently defined by the resolution of the current ALB capabilities, such as large boulders, rootwads or other obstructions, as discussed by Crowder and Diplas (2000). For numerical modeling of localized hydraulics, these features are responsible for potentially critical flow patterns, depending on the application. It is important to consider those features to be analyzed when deciding upon a survey method.

Future improvement in ALB technology will likely be driven by its application. To date, coastal applications have driven the technological advances of ALB, with greater concern for depth penetration and less concern for resolution. It stands to reason that if those interested in river channel bathymetry take an active role in the determination of hardware and software improvements, resolution and data quality will increase. For example, a reduction in output power of the laser will allow the spot size to be decreased while maintaining eye-safe standards. This would sacrifice depth penetration of the laser, however for river applications depth penetration beyond approximately 10 meters is not needed. If a river channel has a significant portion of its depth greater than 10 meters it will likely suffer from clarity issues, preventing the use of ALB. The smaller spot size would allow for better definition of high relief bed topography. Improvements in the spot spacing will improve resolution, increasing the applicability of the survey.

ACKNOWLEDGEMENTS

Fugro Pelagos, Inc. (San Diego, CA) collected and processed all aerial LiDAR bathymetry used in this manuscript, willingly shared their ground surveys and provided discussions regarding the processing of the LiDAR signals. Joe Riess (Reclamation) shared the Trinity River ALB data and ground truth surveys performed by the California Department of Water Resources. The data analysis and composition of this manuscript was funded by the Bureau of Reclamation's Science and Technology program, project number 1573. This manuscript was greatly improved by the insightful comments of the reviewers.

Note: The use of trade names in this manuscript does not imply endorsement by the U.S. Government.

REFERENCES

- Bowen ZH, Waltermire RG. 2002. Evaluation of light detection and ranging (LIDAR) for measuring river corridor topography. *J. of the American Water Resources Association* **38** (1): 33-41.
- Brinkman RF, O'Neill C. 2000. LiDAR and photogrammetric mapping. *The Military Engineer*. May-June.
- Carbonneau PE, Lane SN, Bergeron N. 2006. Feature based image processing methods applied to bathymetric measurements from airborne remote sensing in fluvial environments. *Earth Surface Processes and Landforms* **31**: 1413 – 1423.
- Chappel A, Heritage G, Fuller IC, Large ARG, Milan D. 2003. Geostatistical analysis of ground survey elevation data to elucidate spatial and temporal river channel change. *Earth Surface Processes and Landforms* **28**: 349-370.
- Charlton ME, Large ARG, Fuller IC. 2003. Application of airborne lidar in river environments: The River Coquet, Northumberland, UK. *Earth Surface Processes and Landforms* **28**: 299-306.
- Cooper MAR. 1998. Datums, coordinates and differences. In *Landform Monitoring, Modelling and Analysis*, Lane SN, Richards KS and Chandler JH (eds). Wiley: Chichester, 21 – 35.
- Cooper MAR, Cross PA. 1988. Statistical concepts and their application in photogrammetry and surveying. *Photogrammetric Record* **12**: 637 – 663.
- Crowder DW, Diplas P. 2003. Using two-dimensional hydrodynamic models at scales of ecological importance. *Journal of Hydrology* **230**: 172-191.
- DeVries P, Goold DJ. 1999. Leveling rod base required for surveying gravel river bed surface elevations. *Water Resources Research* **35** (9): 2877-2879.
- Dinehart RL, Burau JR. 2005. Repeated surveys by acoustic Doppler current profiler for flow and sediment dynamics. *Journal of Hydrology* **314**:1-21.
- ESRI. (2006). <http://support.esri.com/index.cfm?fa=knowledgeBase.gateway> ver. 9.0 (viewed June 2007).
- Ferrari RL, Collins KL. 2006. Reservoir survey and data analysis. In: *Erosion and Sedimentation Manual*, Chapter 9, CT Yang (ed). Bureau of Reclamation, Technical Service Center, Denver, CO. Available on line at <http://www.usbr.gov/pmts/sediment/projects/index.html> (viewed June 2007)

- Ferrari, R.L. 2005. Folsom Lake 2005 sedimentation study. Bureau of Reclamation Report, Technical Service Center, Denver, CO, July.
- Finkl CW, Benedet L, Andrews JL. 2005. Interpolation of seabed geomorphology based on spatial analysis of high-density airborne laser bathymetry *J. Coastal Research*, **21** (9): 501 – 514.
- French JR. (2003). Airborne lidar in support of geomorphological and hydraulic modeling. *Earth Surface Processes and Landforms* **28**: 321-335.
- Gibbons JD. (1985). *Nonparametric Statistical Inference*, 2nd edition, M.Dekker.
- Guenther GC, Cunningham AG, LaRocque PE, Ried DJ. 2000. Meeting the accuracy challenge in airborne LiDAR bathymetry. Proceedings of EARSeL-SIG Workshop LiDAR No. 1, Dresden/FRG, June 16 – 17.
- Hilldale RC. 2007. Using bathymetric LiDAR and a 2-D hydraulic model to quantify aquatic habitat. Proceedings of the ASCE World Environmental and Water Resources Congress, Tampa, FL, May 15 – 19.
- Hollander M, Wolfe DA. 1973. *Nonparametric Statistical Methods*, Wiley.
- Kinzel PJ, Write CW, Nelson JM. 2006. Applications of an experimental airborne laser scanner for surveying a braided river. Proceedings, Joint Federal Interagency Sedimentation Conference, Reno, NV, Apr 3 -6.
- Lane SN, Chandler JH, Richards KS. 1994. Developments in monitoring and terrain modeling small-scale river-bed topography. *Earth Surface Processes and Landforms* **19**: 349 – 368.
- Lane SN, Westaway RM, Hicks DM. 2003. Estimation of erosion and deposition volumes in a large gravel-bed, braided river using synoptic remote sensing. *Earth Surface Processes and Landforms* **28**: 249 – 271.
- LaRocque PE, West GR. 1990. Airborne laser hydrography: An introduction. Proceedings ROPME/PERSGA/IHB Workshop on Hydrographic Activities in the ROPME Sea Area and Red Sea, October 24 – 27, Kuwait City.
- Legleiter CJ, Roberts DA, Marcus WA, Fonstad MA. 2004. Passive remote sensing of river channel morphology and in-stream habitat: physical basis and feasibility. *Remote Sensing of Environment* **93**: 493 – 510.
- Lilliefors HW. 1967. On the Kolmogorov-Smirnov test for normality with mean and variance unknown. *Journal of the American Statistical Association* **62**: 399-402.

- Lillycrop WJ, Parson LE, Irish JL, Brooks MW. 1996. Hydrographic surveying with an airborne LiDAR survey system. Presented at the Second International Airborne Remote Sensing Conference and Exhibition, San Francisco, CA, June 24-27.
- Lyon JG, Lunetta RS, Williams DC. 1992. Airborne multispectral scanner data for evaluating bottom sediment types and water depths of the St. Mary's River, Michigan. *Photogrammetric Engineering and Remote Sensing* **58**: 951 – 956.
- Marcus WA. 2002. Mapping of stream microhabitats with high spatial resolution hyperspectral imagery. *Journal of Geographical Systems* **4**: 113 – 126.
- Marcus WA, Leigleiter CJ, Aspinall RJ, Boardman JW, Crabtree RL. 2003. High spatial resolution hyperspectral mapping of in-stream habitats, depths, and woody debris in mountain streams. *Geomorphology* **55**, 363 – 380.
- Marks K, Bates P. 2000. Integration of high-resolution topographic models with floodplain flow models. *Hydrological Processes* **14**: 2109-2122.
- Mastin MC, Vaccaro JJ. 2002. Watershed models for decision support in the Yakima River Basin, WA. USGS Open File Report 02-404, Tacoma, WA.
- McBain S, Trush W. 1997. Trinity River Maintenance Flow Study – Final Report. Prepared for the Hoopa Valley Tribe by McBain and Trush, Arcata, CA.
- Optech. 2006. <http://www.optech.ca/shoalssys.htm> (viewed June 2007).
- Poppe LJ, Ackerman SD, Doran EF, Beaver AL, Crocker JM, Schattgen PT. 2006. Interpolation of Reconnaissance Multibeam Bathymetry from north-central Long Island Sound. USGS Open File Report 2005-1145. Available on line at <http://woodshole.er.usgs.gov/pubs/of2005-1145/index.html> (viewed June 2007).
- Roberts ACB, Anderson JM. 1999. Shallow water bathymetry using integrated airborne multi-spectral remote sensing. *International Journal of Remote Sensing* **20**:497 – 510.
- Teledyne RD Instruments. 2006. <http://www.rdinstruments.com> (viewed June 2007)
- Trimble. 2006. <http://www.trimble.com> (viewed April 2007)
- USACE. 2002. Engineering and Design - Hydrographic Surveying, Publication Number: EM 1110-2-1003, January. Available on line at (viewed June 2007) <http://www.usace.army.mil/inet/usace-docs/eng-manuals/em1110-2-1003/toc.htm>.
- Vermeyen TB. 1996. Using an ADCP, depth sounder, and GPS for bathymetric surveys. Proceedings of the World Environmental and Water Resources Congress 2006, Omaha, Nebraska, May 21-25.

- Westaway RM, Lane SN, Hicks DM 2000. Development of an automated correction procedure for digital photography for the study of wide, shallow gravel-bed rivers. *Earth Surface Processes and Landforms* **25**: 200-226.
- Westaway RM, Lane SN, Hicks DM. 2001. Airborne remote sensing of clear water, shallow, gravel-bed rivers using digital photogrammetry and image analysis. *Photogrammetric Engineering and Remote Sensing* **67**: 1271 – 1281.
- Westaway RM, Lane SN, Hicks DM. 2003. Remote survey of large-scale braided rivers using digital photogrammetry and image analysis. *International Journal of Remote Sensing* **24**: 795 – 816.
- Whited D, Stanford JA, Kimball JS. 2002. Application of airborne multispectral digital imagery to quantify riverine habitats at different baseflows. *River Research and Applications* **18**: 583 – 594.
- Wilson JT, Morlock SE, Baker NT. 1997. Bathymetric surveys of Morse and Geist Reservoirs in central Indiana made with acoustic Doppler current profiler and global positioning system technology. USGS Water-Resource Investigations Report # 97-4099. Can be viewed on-line at (viewed June 2007) <http://in.water.usgs.gov/bathymetry.web/>.
- Winterbottom SJ, Gilvear DJ. 1997. Quantification of channel bed morphology in gravel bed rivers using multispectral imagery and aerial photography. *Regulated Rivers: Research and Management* **13**: 489 – 499.

TABLES

Table 1: Reach properties for the Yakima Basin. A range of values is shown for some reaches because there is significant variation throughout the reach.

Reach Name	Average slope (%)	Typical Discharge (m ³ /s)	Length (km)	Average d ₅₀ (mm)
Easton	0.25	6 - 11	19.3	55
Upper Kittitas	0.25	23 - 88	16.6	74
Lower Kittitas	0.25	23 - 88	6.4	74
Naches	0.50	25 - 42	16	105
Sunnyside	0.20	9 - 56	24.4	65
Prosser	0.004 - 0.09	16 - 68	58	0.4 - 50
Chandler	0.08 - 0.20	16 - 68	9	42 - 100

Table 2: Absolute difference of error between data set #1 and data set #2.

Absolute Median Residual Difference (m)		
Reach	Grid Comparison	Point Comparison
Upper Kittitas	0.09	0.8
Naches	0.10	0.11
Sunnyside	0.02	0.0
Prosser	0.12	0.13
Chandler	0.10	0.13

Table 3: Error statistics for residuals in the Yakima Basin data comparison using data set #1 with both the grid and point comparison. ME is the mean error, SDE is the standard deviation, n is the number of samples, and e is the expected precision.

Yakima Data Comparison							
Reach Name	Grid Comparison			Point Comparison			e (m)
	ME (m)	SDE (m)	n	ME (m)	SDE (m)	n	
Easton	0.15	0.22	159	0.10	0.22	106	0.49
Upper Kittitas	0.19	0.12	342	0.20	0.14	377	0.50
Lower Kittitas	0.29	0.31	49	0.25	0.36	98	0.50
Naches	0.25	0.12	340	0.27	0.17	279	0.50
Sunnyside	0.17	0.15	331	0.15	0.20	387	0.50
Prosser	0.17	0.15	352	0.14	0.16	364	0.49
Chandler	0.19	0.18	367	0.19	0.19	323	0.50
<i>Mean</i>	<i>0.19</i>	<i>0.18</i>	<i>N/A</i>	<i>0.19</i>	<i>0.21</i>	<i>N/A</i>	<i>N/A</i>

Table 4: Error statistics for residuals in the Trinity River data using both the grid and point comparison methods. ME is the mean error, SDE is the standard deviation, n is the number of samples, and e is the expected precision.

Trinity River Data Comparison							
	Grid Comparison			Point Comparison			
Site Name	ME (m)	SDE (m)	n	ME (m)	SDE (m)	n	e (m)
Indian Creek	0.12	0.44	3,620	0.14	0.53	3,211	0.52
Chapman Ranch	0.11	0.27	158	0.18	0.39	177	0.49
Rush Creek	0.08	0.37	4,927	0.12	0.47	4,608	0.52
Lewiston	0.11	0.22	169	0.16	0.29	155	0.49
<i>Mean</i>	<i>0.10</i>	<i>0.32</i>	<i>N/A</i>	<i>0.15</i>	<i>0.42</i>	<i>N/A</i>	<i>N/A</i>

Table 5: Error statistics considering local elevation variance for the two sites where these data were statistically significant using the grid comparison.

	Slope < 10%			10% < Slope < 20%			Slope > 20%		
Site Name	ME (m)	SDE (m)	n	ME (m)	SDE (m)	n	ME (m)	SDE (m)	n
Indian Cr.	0.04	0.31	1,913	0.08	0.42	1,018	0.52	0.63	689
Rush Cr.	0.04	0.31	2,438	0.09	0.37	1,573	0.18	0.46	916

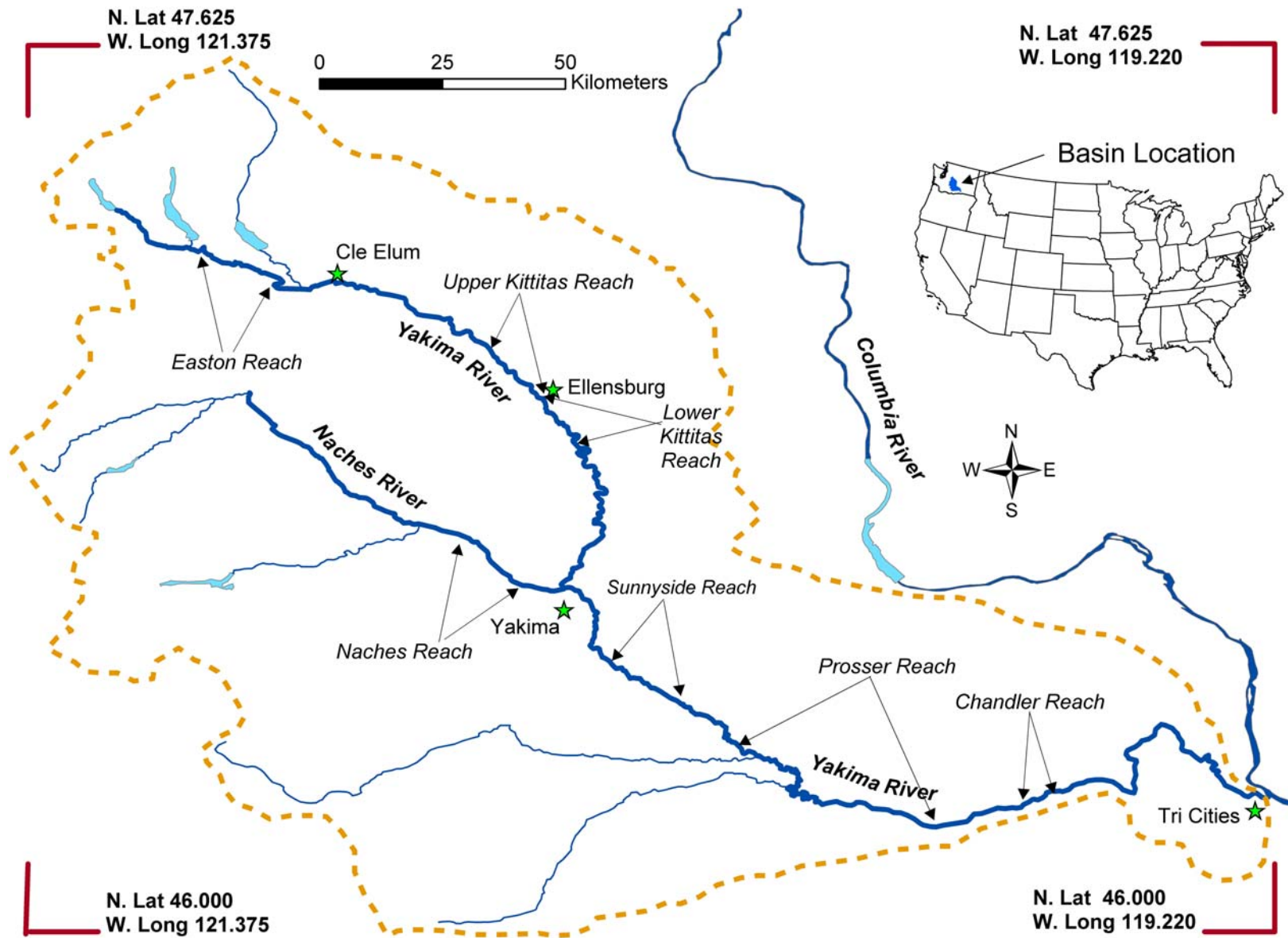


Figure 1: Site map of the Yakima River Basin, Washington and the study reach locations.

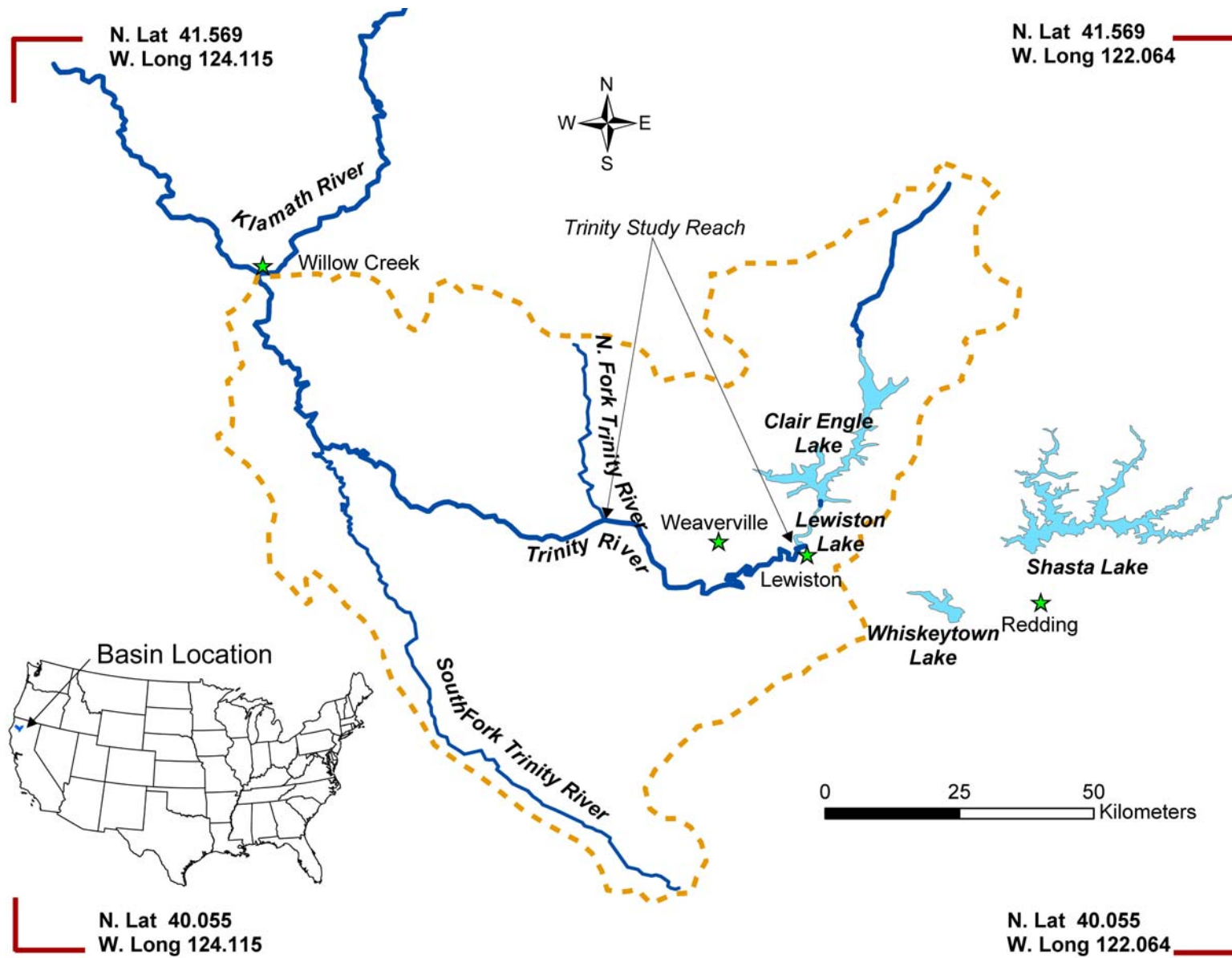


Figure 2: Site map of the Trinity River Basin, California and the study reach location.